

# Effective Online Reordering with Arc-Eager Transitions

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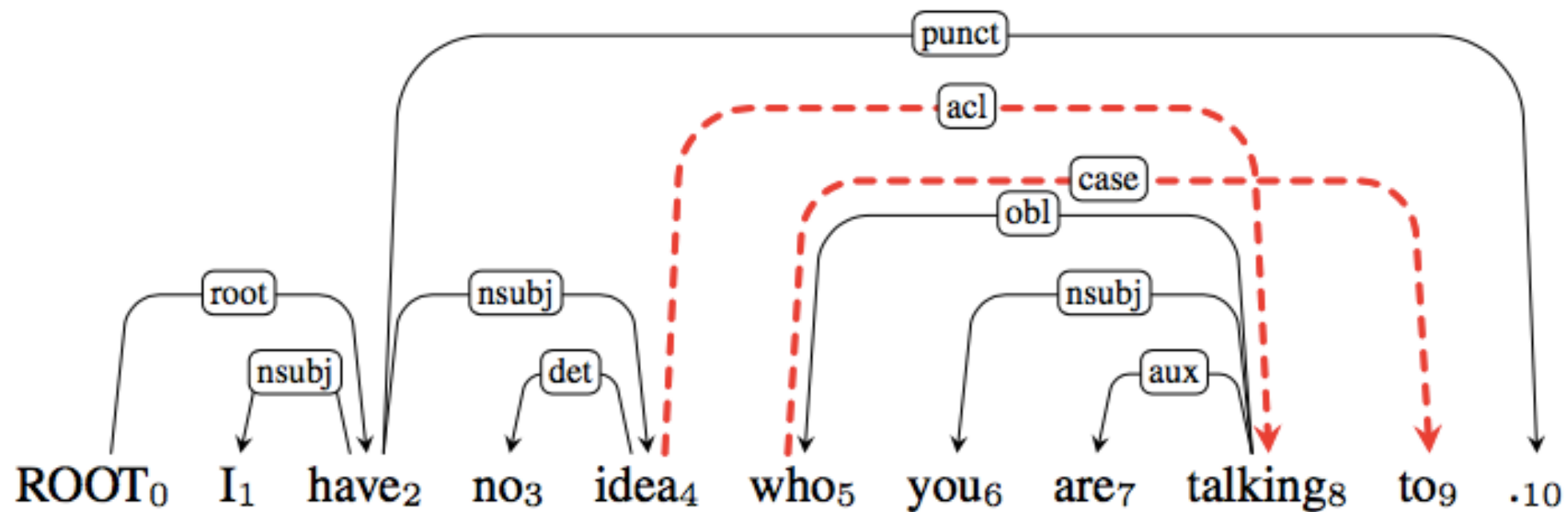
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# Overview

1. We focus on **transition-based parsing** and **online reordering**
2. This is a **first attempt to incorporate SWAP operation into the Arc-Eager transition system**
3. We point out **the weakness of traditional SWAP transitions** and how our method challenges the problem
4. **Multilingual experiment with UD** shows the effectiveness of our proposed method

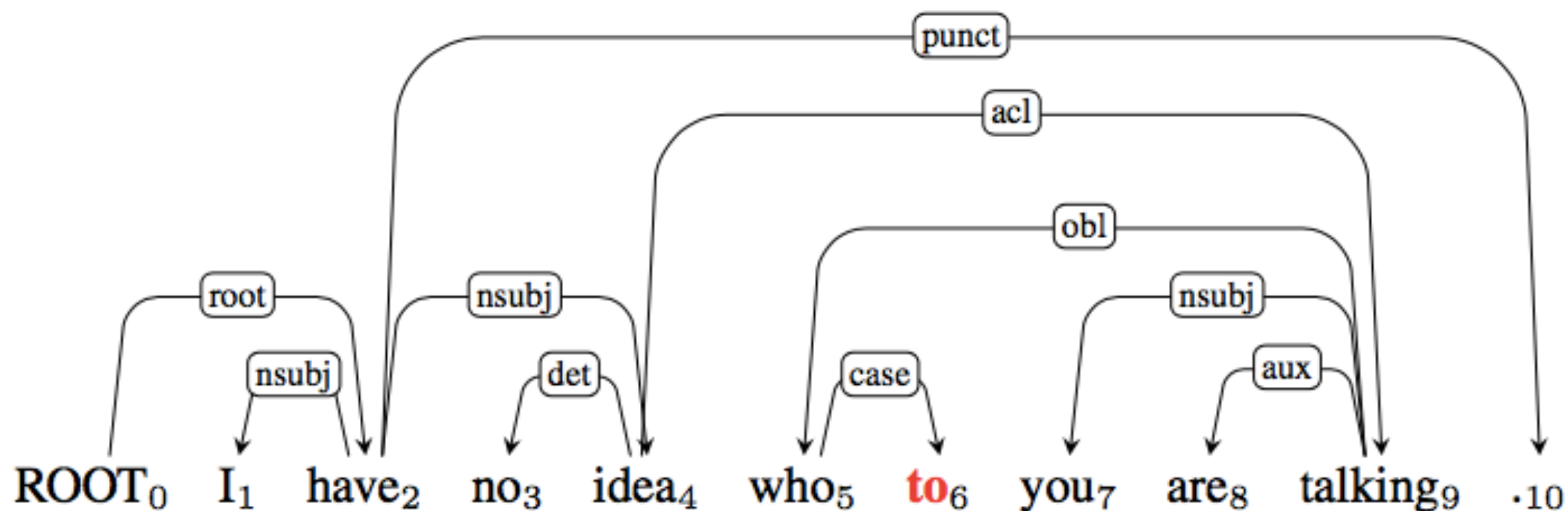
# Introduction

# Non-projectivity & Transition system



- Sentences with crossing arcs are called "*non-projective*"
- Transition-based parser basically can not process such sentences
- Its greedy left-to-right processing prohibits non-adjacent attachments

# How does online reordering solve non-projective structures ?



- Any kinds of non-projective structures can be projectivised by reordering words appropriately ( = *projective order* )
- This reordering is processed **while parsing** ( = **online** )

Why we focus on  
transition-based parsing  
and online reordering?

# Comparing important algorithms

Algorithm	Incrementality	Unrestricted Non-projectives	Time Complexity	Standard Architect Single stack-buffer
<b>Reordering</b> [Nivre 09]+	O	O	$O(n^2)$	O
<b>Attardi</b> [Attardi 06]	O	X	$O(n^2)$	O
<b>Covington</b> [Covington 01]	O	O	$O(n^2)$	X
<b>2-planar</b> [Gomez 10]+	O	X	$O(n)$	X
<b>Crossing-Interval</b> [Pitler 15]+	O	X	$O(n)$	X
<b>MST (graph)</b> [McDonald 05]	X	O	$O(n^2)$	-

# Incrementality

Algorithm	Incrementality	
Reordering [Nivre 09]+	O	<ul style="list-style-type: none"><li>• Transition-based algorithms can process a sentence incrementally</li><li>• Incremental processing is needed in some cases such as speech recognition</li></ul>
Attardi [Attardi 06]	O	
Covington [Covington 01]	O	
2-planar [Gomez 10]+	O	
Crossing-Interval [Pitler 15]+	O	
MST (graph) [McDonald 05]	X	



# Cover ratio of non-projective structures

Algorithm	Incrementality	Unrestricted non-projective parsing
Reordering [Nivre 09]+	O	O
Attardi [Attardi 06]	O	X
Covington [Covington 01]	O	O
2-planar [Gomez 10]+	O	X
Crossing-Interval [Pitler 15]+	O	X
MST (graph) [McDonald 05]	X	O

- No-restriction is ideal
- Some algorithms sacrifice some types of non-projective structures for parsing efficacy

# Time Complexity

Algorithm	Time Complexity	Standard Architect Single stack-buffer
<ul style="list-style-type: none"> <li>Some algorithms work in linear-time thanks to their restrictions</li> </ul>	$O(n^2)$	O
<b>Reordering</b> <small>[Nivre 09]+</small> <b>Attardi</b> <small>[Attardi 06]</small>	$O(n^2)$	O
<ul style="list-style-type: none"> <li>Other transition algorithms also work in near-linear time in most case</li> </ul>	$O(n^2)$	X
<b>Covington</b> <small>[Covington 01]</small> <b>2-planar</b> <small>[Gomez 10]+</small>	$O(n)$	X
<b>Crossing-Interval</b> <small>[Pitler 15]+</small>	$O(n)$	X
<ul style="list-style-type: none"> <li>MST always takes quadratic time</li> </ul>	$O(n^2)$	-
<b>MST (graph)</b> <small>[McDonald 05]</small>		

# Standard Architecture : single stack and single buffer

Algorithm		Standard Architect Single stack-buffer
<b>Reordering</b> [Nivre 09]+	<ul style="list-style-type: none"> <li>Standard architecture has been implemented in many systems</li> </ul>	O
<b>Attardi</b> [Attardi 06]		O
<b>Covington</b> [Covington 01]	<ul style="list-style-type: none"> <li>Algorithms working on that are transparent with such existing systems</li> </ul>	X
<b>2-planar</b> [Gomez 10]+		X
<b>Crossing-Interval</b> [Pitler 15]+		X
<b>MST (graph)</b> [McDonald 05]		-

# Online reordering seems very balanced

Algorithm	Incrementality	Unrestricted Non-projective Parsing	Time Complexity	Standard Architect Single stack-buffer
<b>Reordering</b> [Nivre 09]+	O	O	$O(n^2)$	O
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# Online reordering seems very balanced

Algorithm	Incrementality	Unrestricted Non-projective Parsing	Time Complexity	Standard Architect Single stack-buffer
Reordering [Nivre 09]+	O	O	$O(n^2)$	O

## The advantages of online reordering

- Satisfying demands for **incremental settings**
- Covering **ALL** non-projective structures
- Running in **expected linear-time**
- **Simple** implementation and **transparent with existing systems**

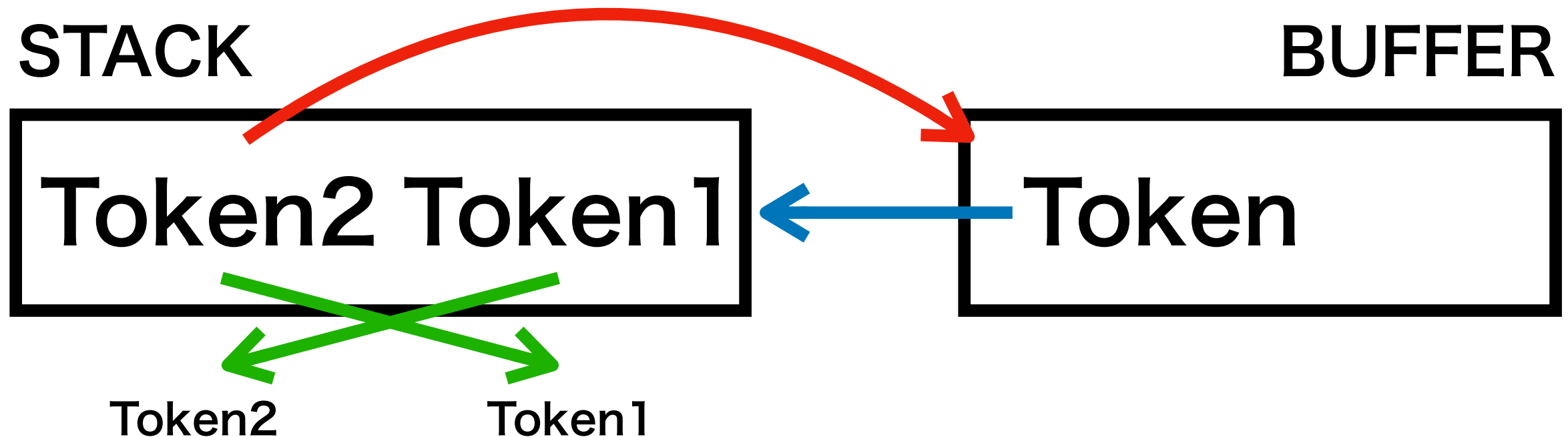
# Problem & Research question

- Problem
  - Lack of further investigations about reordering ways
- Research question
  - Is the current strategy best way?
  - Is there more effective way of online reordering?

# Traditional Approach: **Arc-Standard Swap**

Proposed by Nivre, Kuhlmann & Hall 2009

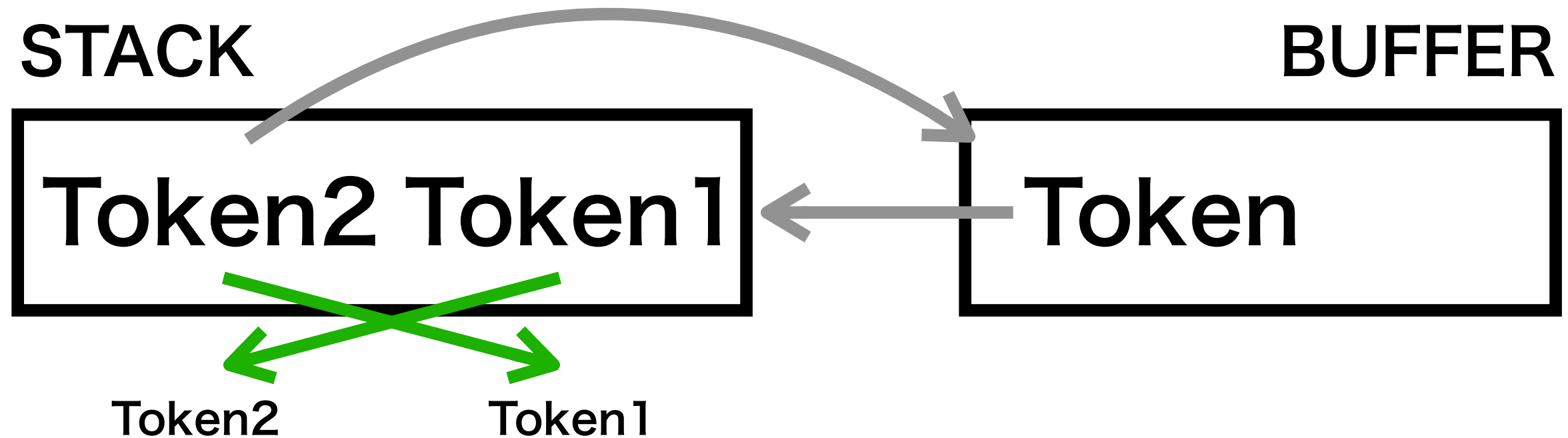
# Arc-Standard Swap Transitions



- **RightArc(RA)**, **LeftArc(LA)** : Connect top two tokens in the stack and reduce a dependent
- **Swap(SW)** : Push back a second token in the stack to the buffer
- **Shift(SH)** : Move a top token in the buffer to the stack



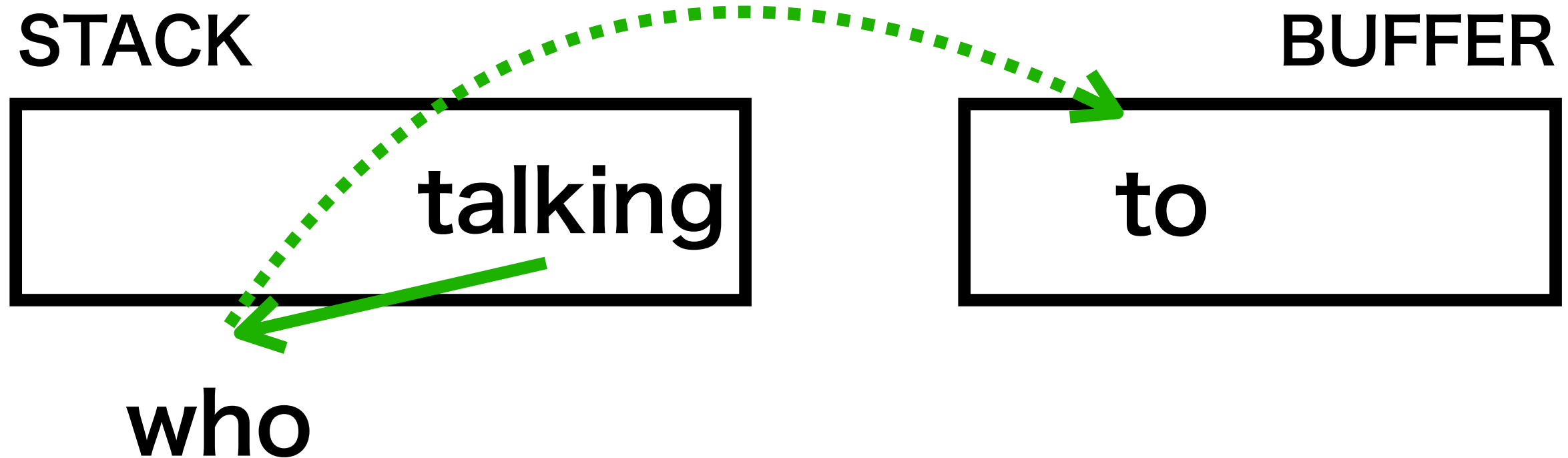
What we consider as trouble is ...



- **RightArc(RA)**, **LeftArc(LA)** : Connect top two tokens in the stack and reduce a dependent

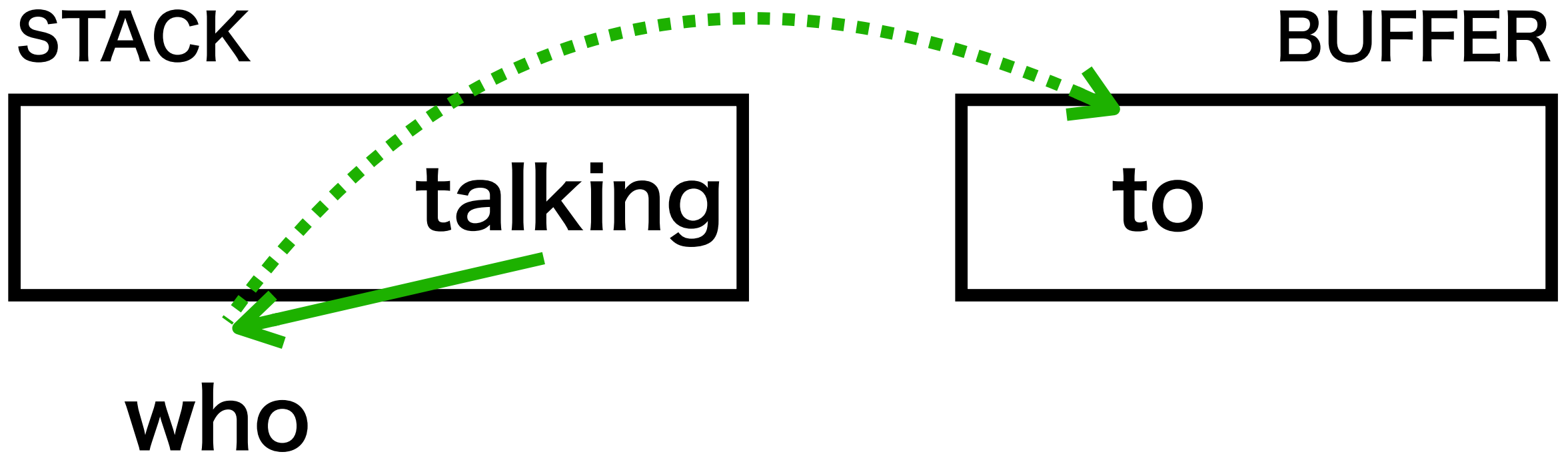
- Reduced tokens cannot have children anymore
- If it has a dependent in the buffer ... ?

Missing relationships between a reduced token and its dependents



- Missing relationships is likely to occur in some cases ...
  - Distant dependent (i.e. a dependent exists in buffer-depth)
  - Typical relationship (e.g. talking -> who : typical relative clause)
- **Parser cannot stop to attach such NEAR or LIKELY arcs, which causes missing far dependents**

For non-projective arcs,  
the thing is more crucial



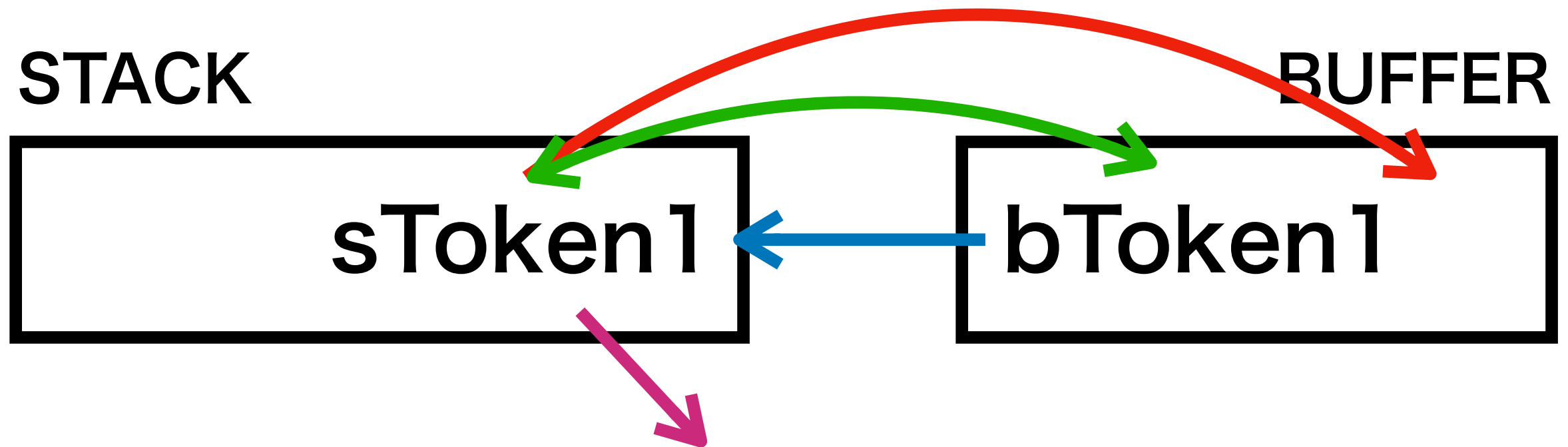
- ASS's bottom-up parsing will be difficult in some cases
  - Non-projective arcs tend to be **FAR** and **UNLIKELY**
  - The nature of ASS does not seem sound with non-projective structures
  - Typical relationship (e.g. talking -> who : typical relative clause)
- Parser cannot stop to attach such **NEAR** or **LIKELY** arcs, which causes missing far dependents

# Summary of ASS's weakness

- **Simultaneous arc-attachment and reduce seems unmatched with non-projectivity**
  1. ASS needs to wait arc-attachments if a dependent still has children in the buffer
  2. Non-projective arcs are comparatively far and unlikely

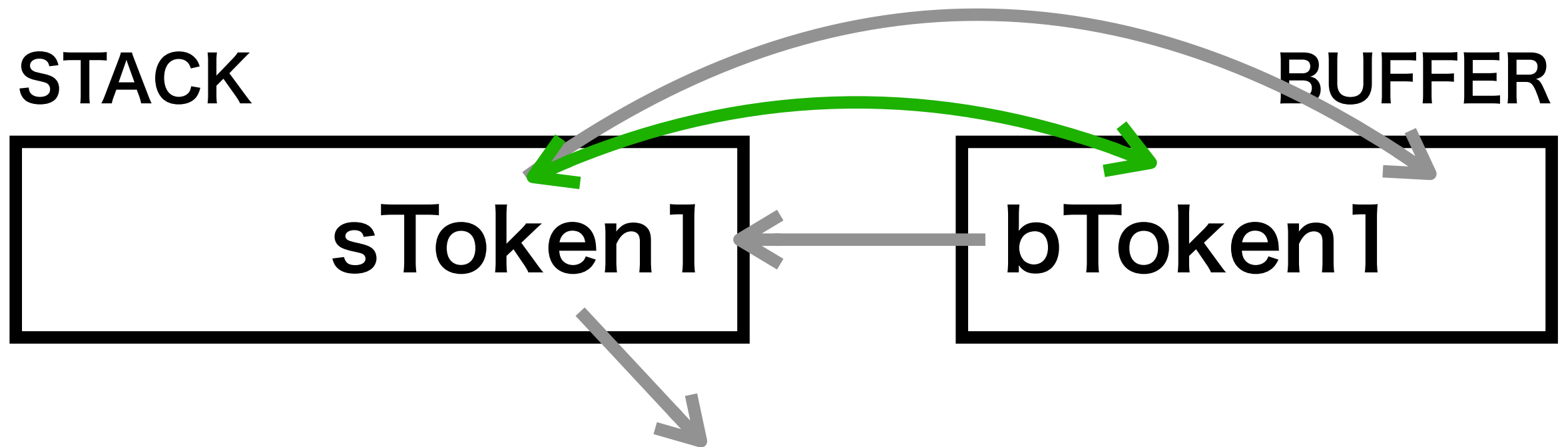
# Proposed Approach: **Stay-Eager Swap**

# Stay-Eager Swap Transitions



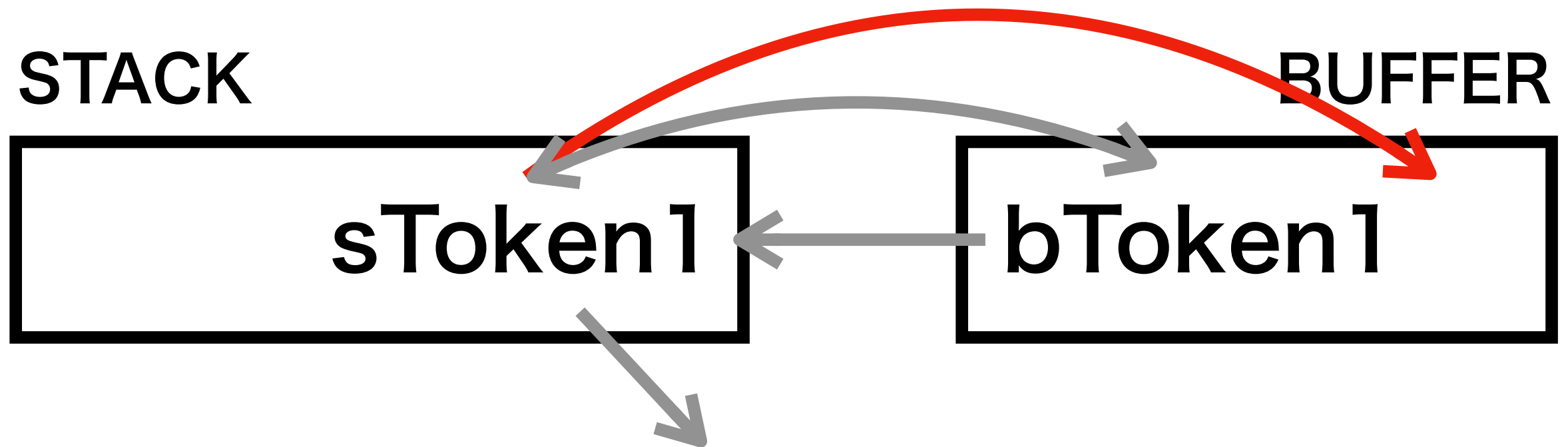
- **StayRight(SR)**, **StayLeft(SL)** : Only connect the stack and buffer tops
- **Swap(SW)** : Push back stack top at the second position in buffer
- **Shift(SH)** : Move buffer top to stack
- **Reduce(RD)** : Pop stack top token

# Stay-Eager Swap Transitions



- **StayRight(SR), StayLeft(SL)** : Only connect the stack and buffer tops
- **Swap(SW)** : Push back stack top at the **second position in buffer**
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- **Reduce(RD)** : Pop stack top token

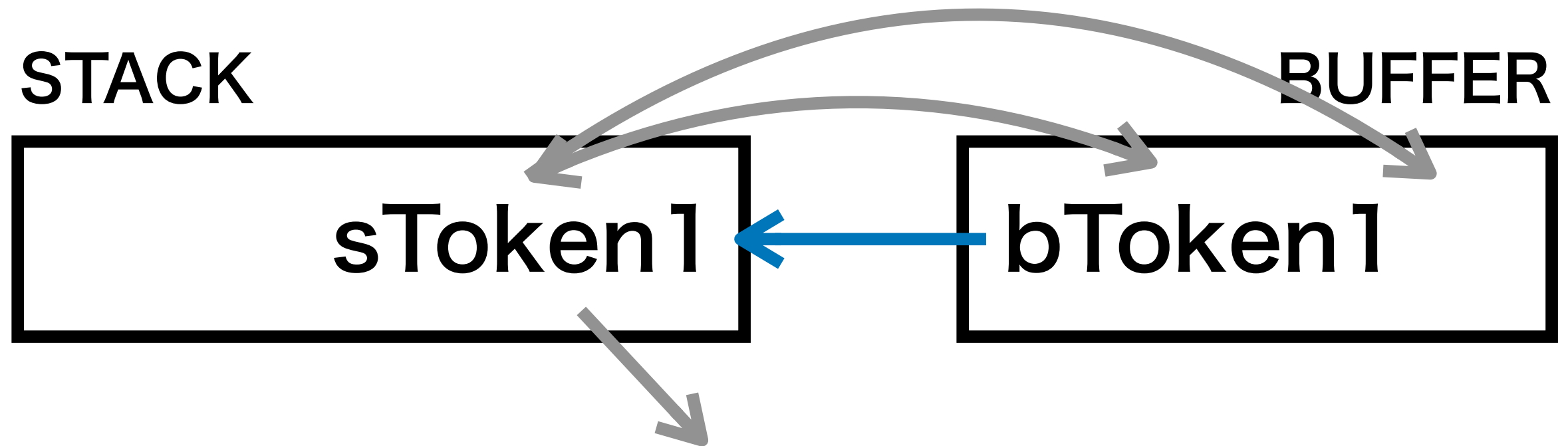
# Stay-Eager Swap Transitions



- StayRight(SR), StayLeft(SL) : ONLY connect stack and buffer tops
- **Swap(SW)** : Push back the stack top at the **second position** in the buffer
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- Reduce(RD) : Pop stack top token

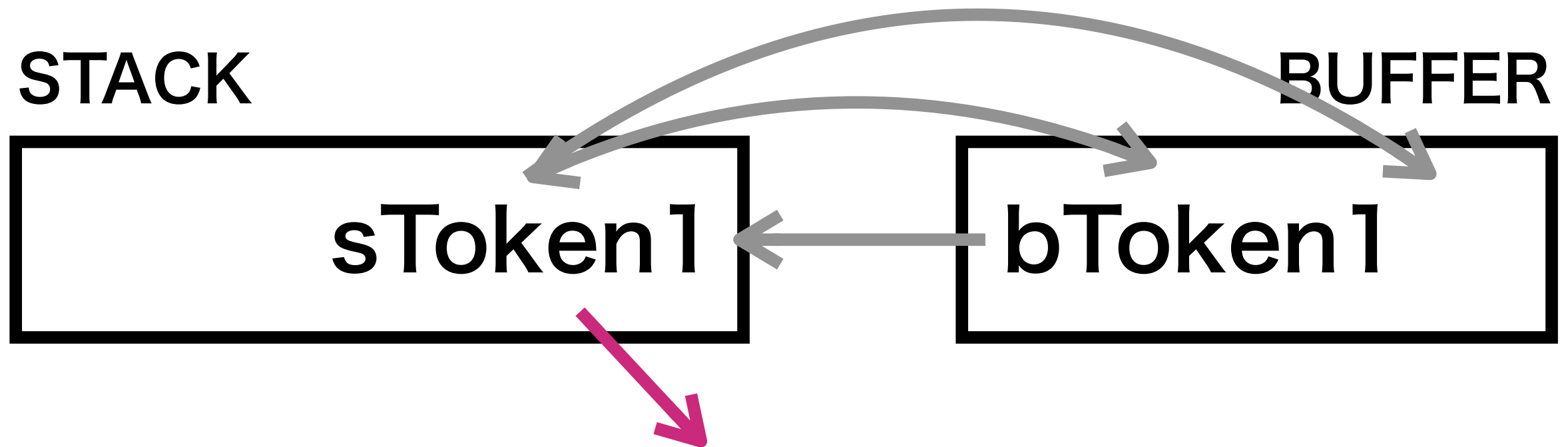


# Stay-Eager Swap Transitions



- StayRight(SR), StayLeft(SL) : ONLY connect stack and buffer tops
- Swap(SW) : Push back stack top at the **second position** in buffer
- **Shift(SH)** : Move the buffer top to the stack
- Reduce(RD) : Pop stack top token

# Stay-Eager Swap Transitions



- StayRight(SR), StayLeft(SL) : ONLY connect stack and buffer tops
- Swap(SW) : Push back stack top at the **second position** in buffer
- Shift(SH) : Move buffer top to stack
- **Reduce(RD)** : Pop the stack top

# The modifications from ASS to SES

- **Reduce operation manages reduce timing**
  - Reduce is independent from arc-attachments
  - Reduce takes very important responsibility to avoid the problem of missing arcs like ASS
- **Arc-attachment can be applied greedy**
  - SES does not need to wait if it predicts a relationship between stack top and buffer top

# Stay-Eager Swap with **non-static oracle**

# Static oracle

STACK



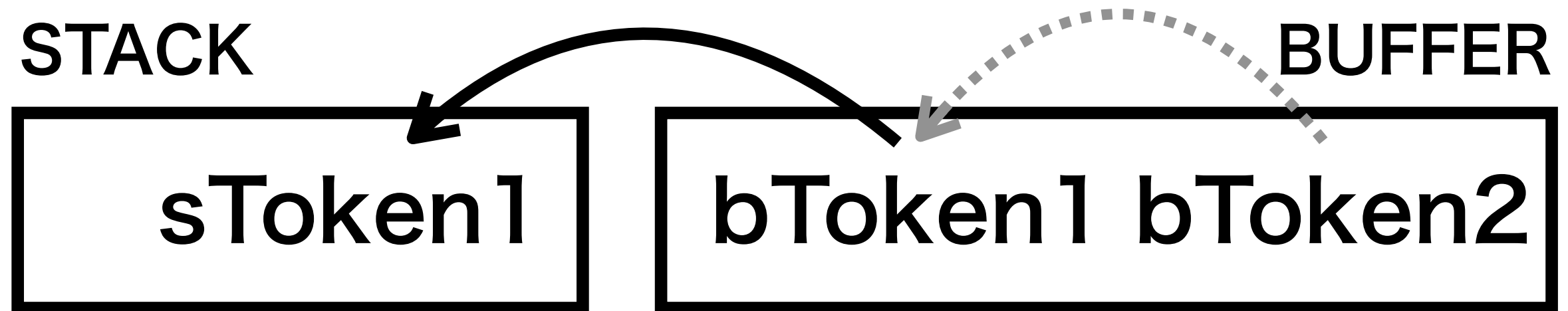
BUFFER



Who

- In static oracle, **RD** is always applied as possible as early
- Parser just learns a **new oracle sequence** causing missing arcs
- In the above case, the sequence “SL -> RD” represents a transition which causes missing dependents
- Parser needs to learn reduce in some good ways

# Non-static oracle

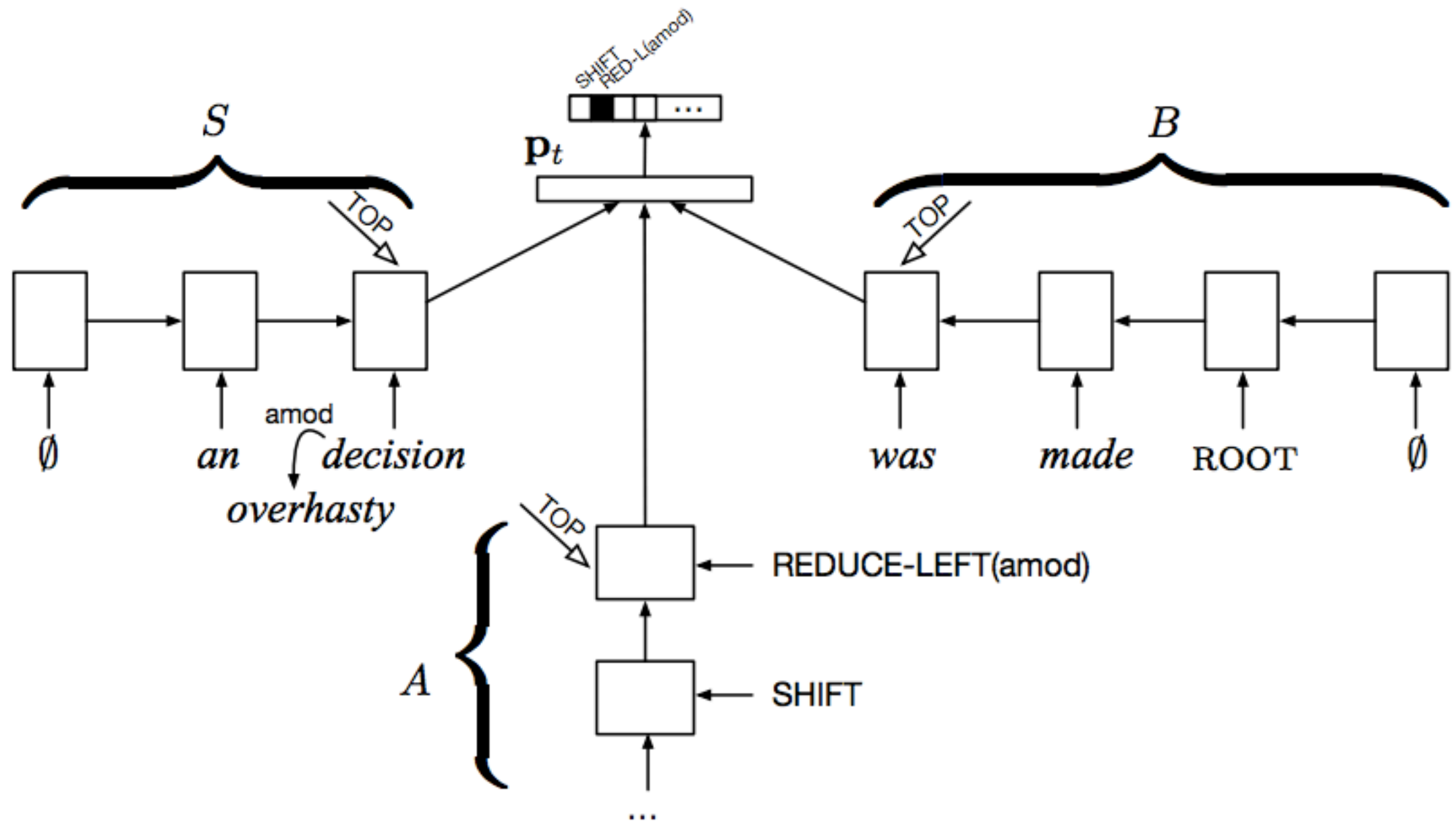


- When reduce can be postponed, a parser chooses shift stochastically
- **Motivation : Make a parser to look ahead**
- This is very simple and partial version of non-static oracle or dynamic oracle (e.g. Goldberg & Nivre 12)

# Experiment

# Settings : Parser

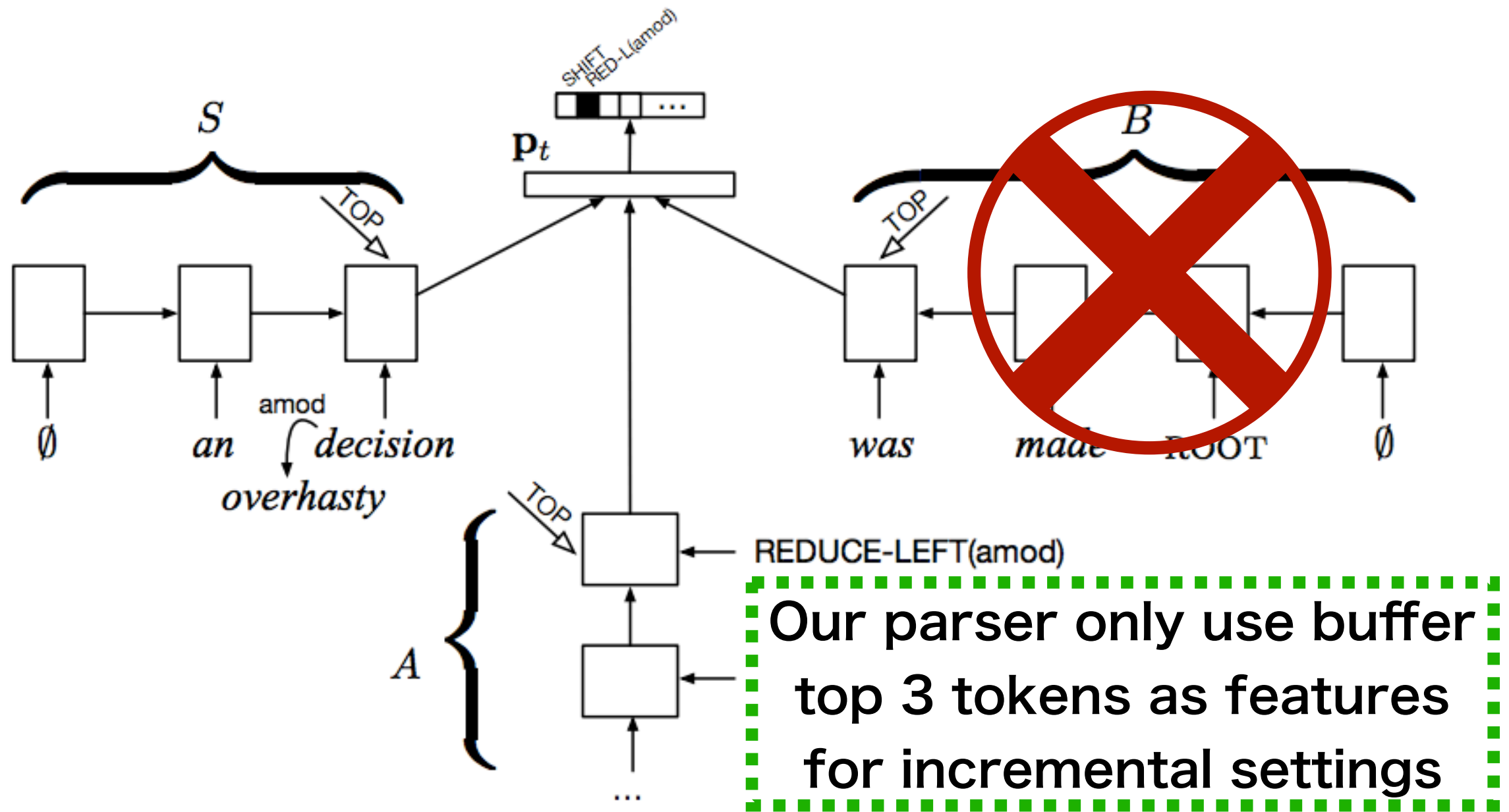
Stack-Istms parser proposed by Dyer et al., 2015





# Settings : Parser

Stack-lstms parser proposed by Dyer et al., 2015



# Settings : Data

- **63 languages** in Universal Dependencies v2.0
  - Same set in pre-distributed languages in CoNLL UD shared task
- **Raw text analysis**
  - We use UDPipe v1.0 for sentence segment, word segment and morphological analysis in test session (Straka et.al, 15)

# Settings : Procedure

- We compare three transitions
  - Arc-Standard Swap (**baseline**)
  - Static Stay-Eager Swap (**proposal**)
  - Non-static Stay-Eager Swap (**proposal**)
- We report Labeled Attachment Scores for ...
  - All, only projective and only non-projective sentences
  - Results after grouping languages by their inclusion of non-projective sentences

# Results

# All languages & All sentences

**ASS**

**static  
SES**

**non-static  
SES**

67.59

67.56

**68.05**

# All languages & **Non-projective** sentences

**ASS**

**static  
SES**

**non-static  
SES**

**59.51**

**60.28**

**61.05**

# Language groups & All sentences

Inclusion of non-projectivity	ASS	non-static SES
<b>HIGH</b> (20% ~ )	62.07	<b>62.96</b>
<b>MID</b> (10 ~ 20%)	67.27	<b>67.64</b>
<b>LOW</b> (5 ~ 10%)	73.23	<b>73.73</b>
<b>VERYLOW</b> ( ~ 5%)	67.49	<b>67.65</b>

# Language groups & Projective sentences

Inclusion of non-projectivity	ASS	non-static SES
<b>HIGH</b> (20% ~ )	66.38	<b>66.85</b>
<b>MID</b> (10 ~ 20%)	68.35	<b>68.59</b>
<b>LOW</b> (5 ~ 10%)	74.17	<b>74.57</b>
<b>VERYLOW</b> ( ~ 5%)	67.91	<b>68.01</b>



# Language groups & Non-projective sentences

Inclusion of non-projectivity	ASS	non-static SES
<b>HIGH</b> (20% ~ )	57.19	<b>58.60</b>
<b>MID</b> (10 ~ 20%)	62.04	<b>63.02</b>
<b>LOW</b> (5 ~ 10%)	66.21	<b>67.66</b>
<b>VERYLOW</b> ( ~ 5%)	59.74	<b>62.56</b>

# Discussion

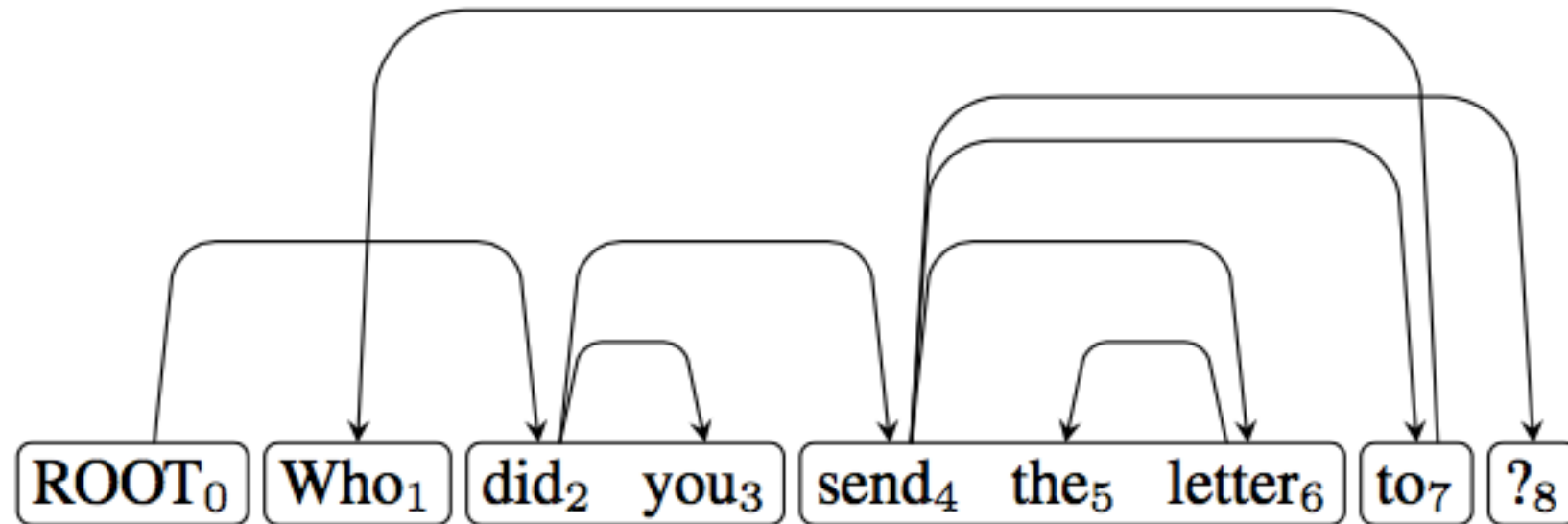
# Remaining Works

1. Why Arc-Eager? Stay-arc transition can be also combined with Arc-Standard ?
2. How about number of swap in SES compared to ASS ?

# Why Arc-Eager ?

- To keep reduce operation itself simple
  - Arc-Eager reduces **only the stack top**
  - Arc-Standard reduces **the stack top and second, which requires two types of reduce operation**
- We wanted to avoid such complexity
- The incorporation is applicable, one of the future works

# How's number of swap?



- SES takes slightly large number of swap than ASS
- ASS can reduce swap by building local subtrees first, which is available because of its nature of bottom-up parsing
- It is not permitted for SES because it attaches right-branches in top-down manner, only left-branches are connected in bottom-up manner

# Conclusion

- A. We focus on some properties of online reordering
- 1) Incrementality
  - 2) Covering all non-projective structures
  - 3) Time complexity
  - 4) Working on the standard transition architecture
- B. Our proposed method got better results for projective and non-projective sentences in raw text analysis
- C. Future works are ...
- 1) Incorporation of stay-arc and ASS
  - 2) Reducing number of swap in SES
  - 3) Formal description to compare ASS and SES

# Appendix



# Oracle

Transition	Configuration	Condition
STAY-LEFT <sub>l</sub>	$(\sigma   i, j   \beta, A)$	$(j, l, i) \in A_g$
STAY-RIGHT <sub>l</sub>	$(\sigma   i, j   \beta, A)$	$(i, l, j) \in A_g$
SWAP	$(\sigma   i, j   \beta, A)$	$isCross(i, j)$
REDUCE	$(\sigma   i, \beta, A)$	$(\cdot, \cdot, i) \in A \wedge \forall h. \forall j. ((i, h, j) \in A_g \rightarrow (i, h, j) \in A)$
SHIFT	$(\sigma, j   \beta, A)$	$\forall i. \forall l. ((j, l, i) \in A_g \wedge j < i) \rightarrow (j, l, i) \in A$

<sup>1</sup>  $isCross(i, j)$  returns true if  $i$  and  $j$  are two endpoints of two crossing arcs yet unattached. Formally:  $(\exists b. b \in \beta \wedge ((b, \cdot, i) \in A_g \wedge (b, \cdot, i) \notin A \vee (i, \cdot, b) \in A_g \wedge (i, \cdot, b) \notin A)) \wedge (\exists s. s \in \sigma \wedge ((s, \cdot, j) \in A_g \wedge (s, \cdot, j) \notin A \vee (j, \cdot, s) \in A_g \wedge (j, \cdot, s) \notin A))$ .

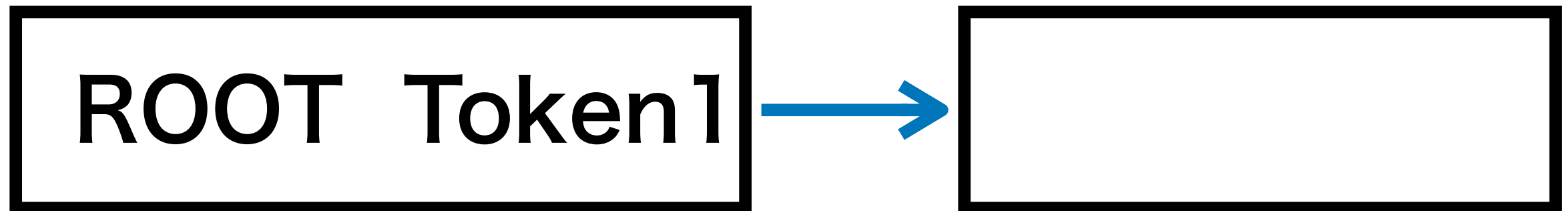
# Right-Root (aux function 1)

- Arc-Eager transitions decide sentence-root just after finished left side of a tree
- It sometimes decide sentence-root too early in some case such as verbal noun subject
  - e.g. *Parsing sentences is running on computers.*
- Right-Root action permit it to delay sentence-root decision, which is motivated to make a parser to look ahead

# Unshift (aux function 2)

**STACK**

**BUFFER**



- Arc-Eager does not guarantee to output a tree
- Parser will fall into the configuration where stack top token has no head but buffer is empty
- Escape from such configuration by pushing back stack top token to buffer

# LAS of HIGH languages

Language	Non-proj ratio	All sentences			
		ASS	SES		UDpipe
			static	non-static	
grc	64.40%	49.18 (51.28)	50.00 (53.04)	<b>50.10 (53.85)</b>	56.04
la	47.50%	37.78 (37.82)	38.32 (42.50)	<b>41.22 (43.27)</b>	43.77
grc_proiel	37.92%	60.67 (63.74)	<b>60.92 (64.69)</b>	60.66 (63.77)	65.22
la_ittb	35.87%	72.29 (75.20)	71.98 (75.01)	<b>72.61 (75.64)</b>	76.98
eu	31.80%	<b>66.61 (67.67)</b>	65.68 (67.66)	65.74 ( <b>68.96</b> )	69.15
en_lines	29.54%	70.37 (72.16)	71.71 (72.59)	<b>71.98 (73.02)</b>	72.94
la_proiel	28.30%	50.56 (54.38)	53.71 (54.72)	<b>53.87 (56.18)</b>	57.54
nl_lassysmall	28.13%	76.48 (76.25)	77.35 (77.78)	<b>77.63 (77.83)</b>	78.15
pt	23.69%	<b>77.12 (78.01)</b>	74.89 (75.49)	75.07 (77.75)	82.11
de	23.23%	65.11 ( <b>66.94</b> )	63.35 (66.64)	<b>65.13 (66.83)</b>	69.11
gl_treegal	23.00%	62.51 (63.78)	64.09 (63.66)	<b>64.55 (64.48)</b>	65.82
nl	22.92%	<b>64.56 (65.69)</b>	62.87 (66.86)	64.26 ( <b>67.99</b> )	68.90
got	22.67%	54.07 (57.40)	55.46 (57.71)	<b>56.66 (58.25)</b>	59.81
hu	21.60%	61.64 (62.72)	60.61 (62.44)	<b>61.94 (63.45)</b>	64.30



# LAS of MID languages

cu	18.93%	58.50 (60.41)	<b>61.17</b> (62.77)	60.66 ( <b>63.62</b> )	62.76
ur	18.88%	<b>75.91</b> ( <b>76.70</b> )	75.46 (76.46)	75.85 (76.49)	76.69
sv_lines	18.38%	71.48 (72.77)	71.18 (72.58)	<b>72.14</b> ( <b>73.95</b> )	74.29
et	16.87%	56.02 (56.26)	<b>56.33</b> (56.57)	56.10 ( <b>57.64</b> )	58.79
da	16.46%	70.23 (72.03)	69.63 (70.24)	<b>70.38</b> ( <b>72.31</b> )	73.38
sl	15.99%	78.58 (79.85)	<b>78.72</b> (79.49)	<b>78.72</b> ( <b>79.95</b> )	81.15
cs_cltt	15.76%	68.78 (68.66)	69.97 (70.06)	<b>70.52</b> ( <b>71.30</b> )	71.64
cs_cac	12.74%	79.92 ( <b>81.56</b> )	<b>80.10</b> (81.20)	79.42 (81.18)	82.46
hi	12.53%	<b>85.29</b> ( <b>85.82</b> )	84.22 (85.56)	84.38 (85.79)	86.77
sl_sst	12.18%	41.45 (42.67)	<b>42.40</b> (44.15)	41.69 ( <b>44.40</b> )	46.45
tr	12.10%	<b>52.67</b> (51.56)	52.00 (52.54)	<b>52.78</b> (52.49)	53.19
cs	11.98%	77.82 ( <b>80.43</b> )	<b>78.13</b> (79.62)	78.01 (80.36)	82.87
el	11.62%	76.89 (78.31)	76.67 (77.72)	<b>77.73</b> ( <b>78.67</b> )	79.26
ar	11.62%	63.79 (64.80)	<b>64.97</b> ( <b>65.04</b> )	64.27 (64.74)	65.30
kk	11.56%	<b>21.83</b> (21.75)	18.43 (19.59)	20.08 ( <b>22.84</b> )	24.51
fr	11.54%	<b>78.05</b> ( <b>79.52</b> )	77.70 (78.86)	77.97 (79.43)	80.75
ca	10.94%	82.94 (83.86)	83.28 (84.02)	<b>83.40</b> ( <b>84.33</b> )	85.39
ga	10.13%	58.58 (60.29)	60.50 (61.30)	<b>61.34</b> ( <b>62.03</b> )	61.52
es	10.09%	79.48 (80.42)	79.64 ( <b>80.81</b> )	<b>79.71</b> (80.49)	81.47

# LAS of LOW languages

ro	9.74%	76.66 (78.25)	77.51 (78.34)	<b>77.75 (78.92)</b>	79.88
es_ancora	9.65%	81.43 (83.18)	<b>81.99</b> (82.88)	81.72 ( <b>83.21</b> )	83.78
ko	9.61%	<b>73.70 (74.24)</b>	72.11 (72.80)	72.33 (73.39)	59.09
ru	9.48%	71.08 (73.84)	<b>72.49</b> (73.33)	72.17 ( <b>74.85</b> )	74.03
ru_syntagrus	9.21%	83.89 (85.33)	<b>84.26</b> (84.95)	84.17 ( <b>85.42</b> )	86.76
no_nynorsk	9.20%	<b>78.69</b> (79.52)	78.26 (78.78)	78.13 ( <b>79.56</b> )	81.56
hr	9.17%	<b>73.74 (76.08)</b>	73.47 (75.63)	73.61 (75.41)	77.18
fr_sequoia	8.77%	77.22 (78.69)	77.09 (77.61)	<b>78.19 (79.16)</b>	79.98
uk	7.95%	57.85 (58.67)	58.97 (59.84)	<b>59.80 (61.23)</b>	60.76
no_bokmaal	7.63%	79.81 (80.69)	79.75 (80.37)	<b>80.36 (81.31)</b>	83.27
fa	7.17%	74.83 ( <b>77.89</b> )	74.61 (77.42)	<b>75.94</b> (77.87)	79.24
fi_ftb	7.02%	70.95 ( <b>73.15</b> )	71.07 (72.03)	<b>71.30</b> (72.75)	74.03
lv	6.64%	55.54 (57.10)	54.50 (56.76)	<b>56.47 (57.35)</b>	59.95
fi	6.24%	<b>71.55</b> (72.37)	70.45 ( <b>72.62</b> )	71.53 (72.18)	73.75
id	5.75%	71.48 (73.78)	72.17 ( <b>74.16</b> )	<b>72.49</b> (74.04)	74.61



# LAS of VERYLOW languages

it	4.98%	83.06 (84.68)	83.61 (84.30)	<b>83.74 (84.92)</b>	85.28
pt_br	4.90%	<b>83.59 (84.39)</b>	83.38 (83.92)	83.35 (84.04)	85.36
ug	4.78%	31.54 (34.02)	33.09 (33.04)	<b>34.40 (34.57)</b>	34.18
sk	4.34%	70.13 (70.76)	<b>70.76 (70.99)</b>	70.71 (70.69)	72.75
fr_partut	4.25%	75.86 (76.47)	76.81 (77.10)	<b>77.43 (77.97)</b>	77.38
en_partut	4.00%	71.00 (72.61)	71.04 (72.43)	<b>71.78 (73.44)</b>	73.64
en	3.71%	<b>72.53 (73.56)</b>	72.51 (73.81)	72.29 ( <b>73.90</b> )	75.84
vi	3.25%	36.37 (36.37)	36.11 (37.00)	<b>36.68 (37.47)</b>	37.47
bg	2.87%	<b>81.24 (82.02)</b>	79.92 (81.32)	80.58 (81.79)	83.64
sv	2.30%	74.09 (74.67)	73.65 (74.29)	<b>74.24 (75.35)</b>	76.73
he	1.83%	55.02 (56.58)	54.91 (56.21)	<b>56.33 (56.90)</b>	57.23
zh	1.40%	<b>53.55 (55.23)</b>	51.65 (53.94)	51.93 (53.11)	57.40
pl	0.27%	76.80 (78.02)	<b>77.70 (78.09)</b>	77.26 (77.75)	78.78
gl	0.00%	76.16 (77.43)	<b>77.24 (77.68)</b>	77.04 ( <b>78.35</b> )	77.31
ja	0.00%	<b>71.47 (72.38)</b>	63.91 (68.59)	66.96 (71.77)	72.21

UDPipe got much higher score

Sentence type	Beam search	ASS	static SES	non-static SES	UDPipe
ALL	X	67.59	67.56	<b>68.05</b>	70.34



# All languages & All Sentences

Sentence type	Beam search	ASS	static SES	non-static SES
ALL	X	67.59	67.56	<b>68.05</b>
	O	68.93	68.88	<b>69.55</b>

# All languages & Non-projective sentences

Sentence type	Beam search	ASS	static SES	non-static SES
Only non-projective	X	59.51	60.28	<b>61.05</b>
	O	61.18	61.98	<b>62.36</b>